

FAX TRANSMISSION
NOTARO & MICHALOS P.C.

Empire State Building
350 Fifth Avenue, Suite 6902
New York, New York 10118-6985
(212) 564-0200
Fax: (212) 564-0217

To: Examiner R. McDonald, Group 1753 **Date:** November 13, 2001
Fax #: (703) 872-9458 **Pages:** 20 , including this cover sheet.
From: Peter C. Michalos
Subject: Our Ref. H60-056 DIV

REMARKS:

 √ No original documents will follow unless requested
 Original documents follow by mail
 Original documents will follow by courier or messenger

CONFIDENTIALITY NOTICE

THIS FACSIMILE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR TAKING ANY ACTION ON THE CONTENTS OF THIS COMMUNICATION OR ANY ATTACHED INFORMATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL FACSIMILE TO US AT THE ABOVE ADDRESS VIA FIRST CLASS MAIL.

PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/362,397
Filing Date	July 28, 1999
First Named Inventor	E. Kugler
Group Art Unit	1753
Examiner Name	R. McDonald
Attorney Docket Number	H60-056 DIV

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.63 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous


- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

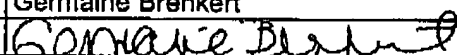
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 14-1431
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.138 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 1,860.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Peter C. Michalos	Registration No. (Attorney/Agent)	28,643
Signature		Date	10/22/01

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Germaine Brenkert	Date	October 22, 2001
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

Adjusted date: 01/30/2003
11/16/2001 11:16 AM
01 FC:117 980.00
02 FC:179 740.00

Received from <+1> at 11/13/01 3:08:41 PM [Eastern Standard Time]

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to BOX FEE AMEND, Commissioner for Patents, Washington, D.C. 20231 on October 22, 2001

Germaine M. Brenkert
By: Germaine M. Brenkert

Dated: October 22, 2001

Patent
Atty. Docket: H60-056 DIV

7/c
w.m.
11/15/01
(fees
OK)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kugler, E.
Serial No. : 09/362,397
Filing Date : July 28, 1999
For : INFORMATION CARRIER,
METHOD FOR PRODUCING SAME
Examiner : R. McDonald
Group Art Unit : 1753

BOX RCE
Commissioner for Patents
Washington, D.C. 20231

AMENDMENT WITH EXTENSION PETITION AND RCE

Sir:

Applicants hereby petition under 37 C.F.R. 1.136(a) for a three month extension of time within which to respond to the Final Action dated April 26, 2001; that is, up to and including October 26, 2001. A check in the amount of \$1660.00 is enclosed to cover the official fee of \$920.00 for large entity status, as required by 37 C.F.R. 1.17(a)(3) and the RCE fee of \$740.00. The